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Atty. Dkt. No. 043034-0171

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

05/25/01
jc962 U.S. PTO

jc929 U.S. PTO
09/866556
05/25/01

Applicant: Shinjiro YOSHIMURA
Title: RATE ADJUSTMENT TECHNIQUE
IN A CDMA RECEIVER
Appl. No.: Unknown
Filing Date: Concurrently Herewith
Examiner: Unknown
Art Unit: Unknown

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

[] Applicant claims small entity status under 37 CFR 1.27

Enclosed are:

- [X] Specification, Claim(s), and Abstract (25 pages).
- [X] Informal drawings (5 sheets, Figures 1-5).
- [X] Declaration and Power of Attorney (2 pages).
- [X] Assignment of the invention to NEC CORPORATION.
- [X] Assignment Recordation Cover Sheet.
- [] Small Entity statement.
- [] Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).

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01 FC:101 710.00 CH
02 FC:102 80.00 CH

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- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of ___ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	13	- 20	= 0	x \$18.00	= \$0.00
Independents:	4	- 3	= 1	x \$80.00	= \$80.00
If any Multiple Dependent Claim(s) present:			+	\$270.00	= \$0.00
				SUBTOTAL:	= \$790.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$790.00
Assignment Recordation Fee:			+	\$40.00	= \$40.00
				TOTAL FEE	= \$830.00

- ☐ A check in the amount of \$830.00 to cover the filing fee and fee for recordation of Assignment is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date May 25, 2001

By David A. Blumenthal

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 043034-0171

In re patent application of

Shinjiro YOSHIMURA

Group Art Unit: Unassigned

Appl. No. Unassigned

Examiner: Unassigned

Appl. Filed: Concurrently Herewith

For: RATE ADJUSTMENT TECHNIQUE IN A CDMA RECEIVER

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Box Patent Applications
Washington, D.C. 20231

Assistant Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (Express Mail Label No. EL531625036US) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Box Patent Applications, Washington D.C. 20231.

1. Patent Application Transmittal
2. Specification, Claims, Abstract and Drawings (30 pages)
3. Copy of Declaration (2 pages)
4. Recordation Form Cover Sheet
5. Assignment
6. Two postcards enclosed

Respectfully submitted,



David A. Blumenthal
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May 25, 2001

Date

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